

Low-scaling all-electron *GW* for periodic systems in the numeric atom-centered orbital framework

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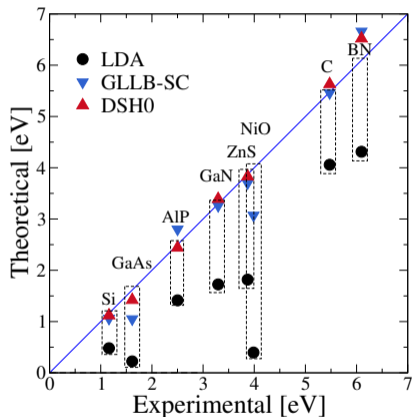
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GW method: quasiparticle band structure beyond KS eigenvalues

“Band-gap problem” in KS-DFT



- KS-DFT gives useful orbitals, but KS eigenvalues $\epsilon_{n\mathbf{k}}^{\text{KS}}$ does not correspond to changed excitation.

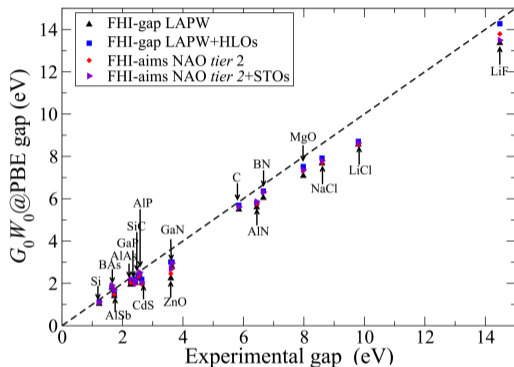
- Electronic self-energy from one-shot GW

$$\Sigma(\mathbf{r}, \mathbf{r}', \omega) = i \int \frac{d\omega'}{2\pi} G^0(\mathbf{r}, \mathbf{r}', \omega') W^0(\mathbf{r}, \mathbf{r}', \omega + \omega')$$

- Quasiparticle energies:

$$\epsilon_{n\mathbf{k}}^{\text{QP}} = \epsilon_{n\mathbf{k}}^{\text{KS}} + \text{Re} \left\{ \langle n\mathbf{k} | \hat{\Sigma}(\epsilon_{n\mathbf{k}}^{\text{QP}}) | n\mathbf{k} \rangle \right\} - v_{n\mathbf{k}}^{xc, \text{KS}}$$

Periodic G^0W^0 in FHI-aims: an established reference



All-electron, full-potential NAO framework

- Benchmarked against LAPW+HLOs reference
- Efficiently parallelized over 18,000 cores

Practical bottlenecks

- Accuracy: 1e basis-set convergence, ...
- Efficiency: k-space canonical algorithm, χ^0 and Σ^c scale as $\mathcal{O}(N_a^4 N_k^2)$.



Can we keep the all-electron quality,
but reduce the scaling?

Low-scaling algorithm: space-time GW

Evaluation in real-space and imaginary-time

$$\chi^0(\mathbf{r}, \mathbf{r}', i\tau) = -G(\mathbf{r}, \mathbf{r}', i\tau)G(\mathbf{r}', \mathbf{r}, -i\tau)$$

$$\Sigma(\mathbf{r}, \mathbf{r}', i\tau) = G(\mathbf{r}, \mathbf{r}', i\tau)W(\mathbf{r}, \mathbf{r}', i\tau)$$

- ✓ $\mathcal{O}(N_a^3)$, more favorable than $\mathcal{O}(N_a^4)$
- ✗ Slow convergence with time grids
- ✗ Require dense real-space FFT grids
- ✗ Storage of real-space Green's function

Low-scaling algorithm: space-time GW

Evaluation in real-space and imaginary-time

$$\begin{aligned}\chi^0(\mathbf{r}, \mathbf{r}', i\tau) &= -G(\mathbf{r}, \mathbf{r}', i\tau)G(\mathbf{r}', \mathbf{r}, -i\tau) \\ \Sigma(\mathbf{r}, \mathbf{r}', i\tau) &= G(\mathbf{r}, \mathbf{r}', i\tau)W(\mathbf{r}, \mathbf{r}', i\tau)\end{aligned}$$

- ✓ $\mathcal{O}(N_a^3)$, more favorable than $\mathcal{O}(N_a^4)$
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Efficient time-frequency transformation using non-uniform minimax grids

$$\operatorname{argmin}_{\{\tau_j, \sigma_j\}} \left\{ \max_{x, x'} \left| \frac{1}{x + x'} - \sum_j \sigma_j e^{-x|\tau_j|} e^{-x'|\tau_j|} \right| \right\}$$
$$\forall x, x' \in [e_{\min}^{\text{trans}}, e_{\max}^{\text{trans}}]$$

Cosine transform for even function f

$$\hat{f}(i\omega_k) = \sum_{j=1}^N \gamma_{kj} \cos(\omega_k \tau_j) f(i\tau_j)$$



Dorothea
Ramon
Antonio
Moritz

Packing real-space grids with NAOs

Green's function expanded by numeric atom-centered orbitals

$$G^0(\mathbf{r}, \mathbf{r}', i\tau) = \sum_{ij} \sum_{\mathbf{R}, \mathbf{R}'} \varphi_{i\mathbf{R}}(\mathbf{r}) G_{ij}^0(\mathbf{R}' - \mathbf{R}, i\tau) \varphi_{j\mathbf{R}'}(\mathbf{r}') \quad \varphi_{i\mathbf{R}}(\mathbf{r}) \equiv \varphi_i(\mathbf{r} - \mathbf{t}_I - \mathbf{R})$$

Non-interacting response function

$$\chi^0(\mathbf{r}, \mathbf{r}', i\tau) = \sum_{ijkl} \sum_{\mathbf{R}, \mathbf{R}', \mathbf{R}_1, \mathbf{R}_2} \varphi_{i\mathbf{R}}(\mathbf{r}) \varphi_{k\mathbf{R}_1}(\mathbf{r}) \varphi_{j\mathbf{R}'}(\mathbf{r}') \varphi_{l\mathbf{R}_2}(\mathbf{r}') G_{ij}^0(\mathbf{R}' - \mathbf{R}, i\tau) G_{lk}^0(\mathbf{R}_1 - \mathbf{R}_2, -i\tau)$$

Localized resolution of identity, RI-LVL/LRI:

$$\varphi_{i\mathbf{R}}(\mathbf{r}) \varphi_{k\mathbf{R}_1}(\mathbf{r}) \approx \sum_{\mu \in I} C_{i\mathbf{R}, k\mathbf{R}_1}^{\mu\mathbf{R}} P_{\mu\mathbf{R}}(\mathbf{r}) + \sum_{\mu \in K} C_{i\mathbf{R}, k\mathbf{R}_1}^{\mu\mathbf{R}_1} P_{\mu\mathbf{R}_1}(\mathbf{r})$$

Space-time formalism in NAOs under RI-LVL

Response function $\mathcal{O}(N_a^4)$

$$\chi_{\mathcal{UV}}^0(i\tau) = \sum_{I=U} \sum_{J=V} \sum_{KL} \mathbf{C}_{IK}^U [\mathbf{G}_{IJ}(i\tau)\mathbf{G}_{LK}(-i\tau) + \mathbf{G}_{KL}(i\tau)\mathbf{G}_{JI}(-i\tau) + \mathbf{G}_{IL}(i\tau)\mathbf{G}_{JK}(-i\tau) + \mathbf{G}_{KJ}(i\tau)\mathbf{G}_{LI}(-i\tau)] \mathbf{C}_{JL}^V$$

Screened Coulomb $\mathcal{O}(N_a^3)$

$$\mathbf{W}(\mathbf{q}, i\omega) = \sqrt{\mathbf{V}}(\mathbf{q}) [\tilde{\epsilon}^{-1}(\mathbf{q}, i\omega) - \mathbf{I}] \sqrt{\mathbf{V}}(\mathbf{q})$$

$$\epsilon(\mathbf{q}, i\omega) = \mathbf{I} - \sqrt{\mathbf{V}}(\mathbf{q}) \chi^0(\mathbf{q}, i\omega) \sqrt{\mathbf{V}}(\mathbf{q})$$

Correlation self-energy $\mathcal{O}(N_a^4)$

$$\Sigma_{IJ}^c(i\tau) = - \sum_{KL} \mathbf{G}_{KL} \left[\sum_{U=I} \sum_{V=J} \mathbf{C}_{IK}^U \mathbf{W}_{UV}^c \mathbf{C}_{JL}^V + \sum_{U=K} \sum_{V=J} \mathbf{C}_{KI}^U \mathbf{W}_{UV}^c \mathbf{C}_{JL}^V + \sum_{U=I} \sum_{V=L} \mathbf{C}_{IK}^U \mathbf{W}_{UV}^c \mathbf{C}_{LJ}^V + \sum_{U=K} \sum_{V=L} \mathbf{C}_{KI}^U \mathbf{W}_{UV}^c \mathbf{C}_{LJ}^V \right]$$

Space-time formalism in NAOs under RI-LVL

Response function

$$\chi_{\mu\nu}^0(i\tau) = \sum_{I=\mu} \sum_{J=\nu} \sum_{KL} \boxed{C_{IK}^{\mu}} [\mathbf{G}_{IJ}(i\tau)\mathbf{G}_{LK}(-i\tau) + \mathbf{G}_{KL}(i\tau)\mathbf{G}_{JI}(-i\tau) + \mathbf{G}_{IL}(i\tau)\mathbf{G}_{JK}(-i\tau) + \mathbf{G}_{KJ}(i\tau)\mathbf{G}_{LI}(-i\tau)] \boxed{C_{JL}^{\nu}}$$

$\mathcal{O}(N_a^4)$ $\mathcal{O}(N_a^2)$

Screened Coulomb

$$\mathbf{W}(\mathbf{q}, i\omega) = \sqrt{\mathbf{V}}(\mathbf{q}) [\tilde{\epsilon}^{-1}(\mathbf{q}, i\omega) - \mathbf{I}] \sqrt{\mathbf{V}}(\mathbf{q})$$

$$\epsilon(\mathbf{q}, i\omega) = \mathbf{I} - \sqrt{\mathbf{V}}(\mathbf{q}) \chi^0(\mathbf{q}, i\omega) \sqrt{\mathbf{V}}(\mathbf{q})$$

$\mathcal{O}(N_a^3)$

Correlation self-energy

$$\Sigma_{IJ}^c(i\tau) = - \sum_{KL} \mathbf{G}_{KL} \left[\sum_{\mu=I} \sum_{\nu=J} \boxed{C_{IK}^{\mu}} \mathbf{W}_{\mu\nu}^c \boxed{C_{JL}^{\nu}} + \sum_{\mu=K} \sum_{\nu=J} \boxed{C_{KI}^{\mu}} \mathbf{W}_{\mu\nu}^c \boxed{C_{JL}^{\nu}} + \sum_{\mu=I} \sum_{\nu=L} \boxed{C_{IK}^{\mu}} \mathbf{W}_{\mu\nu}^c \boxed{C_{LJ}^{\nu}} + \sum_{\mu=K} \sum_{\nu=L} \boxed{C_{KI}^{\mu}} \mathbf{W}_{\mu\nu}^c \boxed{C_{LJ}^{\nu}} \right]$$

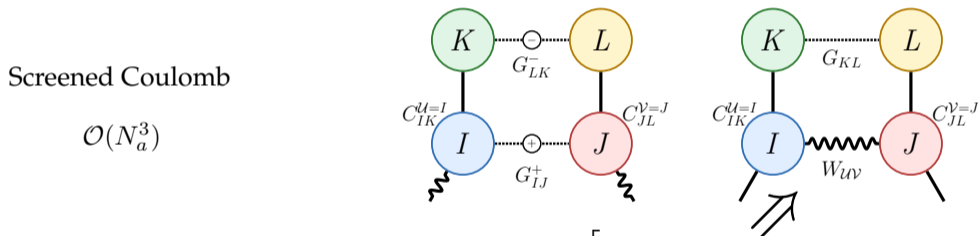
$\mathcal{O}(N_a^4)$ $\mathcal{O}(N_a^2)$

Space-time formalism in NAOs under RI-LVL

Response function

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$\mathcal{O}(N_a^4)$ $\mathcal{O}(N_a^2)$



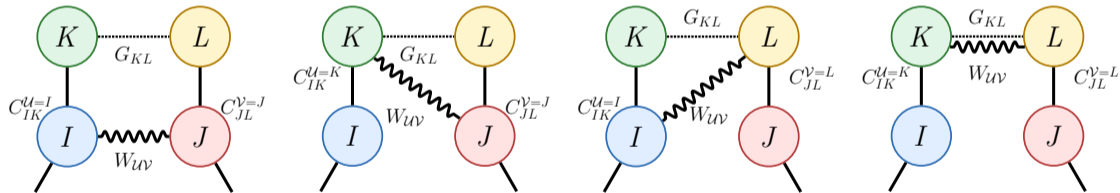
Correlation self-energy

$$\Sigma_{IJ}^c(i\tau) = - \sum_{KL} \mathbf{G}_{KL} \left[\sum_{U=I} \sum_{V=J} \boxed{C_{IK}^U} \mathbf{W}_{UV}^c \boxed{C_{JL}^V} + \sum_{U=K} \sum_{V=J} \boxed{C_{KI}^U} \mathbf{W}_{UV}^c \boxed{C_{JL}^V} + \sum_{U=I} \sum_{V=L} \boxed{C_{IK}^U} \mathbf{W}_{UV}^c \boxed{C_{LJ}^V} + \sum_{U=K} \sum_{V=L} \boxed{C_{KI}^U} \mathbf{W}_{UV}^c \boxed{C_{LJ}^V} \right]$$

$\mathcal{O}(N_a^4)$ $\mathcal{O}(N_a^2)$

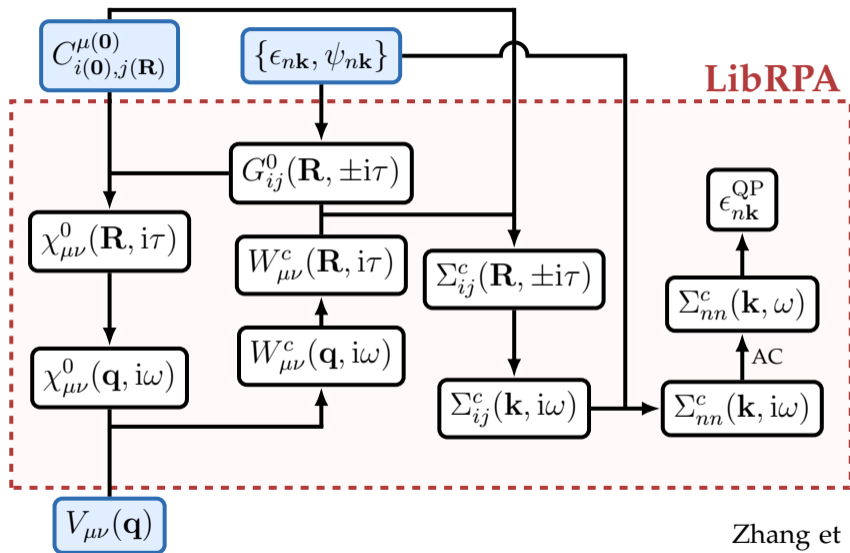
Contraction pattern of $\Sigma_{IJ}^c(i\tau)$

$$\Sigma_{IJ}^c(i\tau) = - \sum_{KL} \mathbf{G}_{KL} \left[\sum_{\mu=I} \sum_{\nu=J} \mathbf{C}_{IK}^{\mu} \mathbf{W}_{\mu\nu}^c \mathbf{C}_{JL}^{\nu} + \sum_{\mu=K} \sum_{\nu=J} \mathbf{C}_{KI}^{\mu} \mathbf{W}_{\mu\nu}^c \mathbf{C}_{JL}^{\nu} + \right. \\ \left. \sum_{\mu=I} \sum_{\nu=L} \mathbf{C}_{IK}^{\mu} \mathbf{W}_{\mu\nu}^c \mathbf{C}_{LJ}^{\nu} + \sum_{\mu=K} \sum_{\nu=L} \mathbf{C}_{KI}^{\mu} \mathbf{W}_{\mu\nu}^c \mathbf{C}_{LJ}^{\nu} \right]$$



Same as the non-local exchange operator Σ_x (Levchenko et al. 2015; Lin et al. 2020; Lin et al. 2021; Kokott et al. 2024).

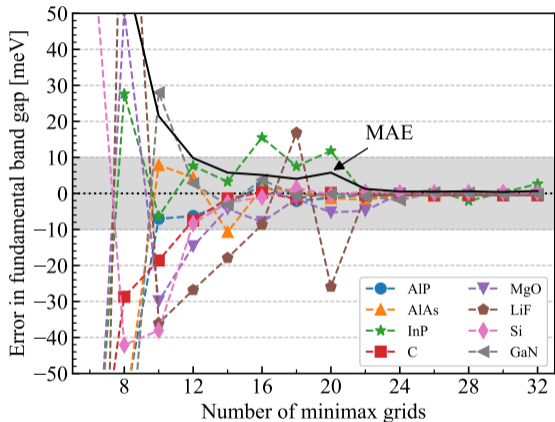
Complete workflow



Zhang et al. 2026

10.1021/acs.jctc.6c00618

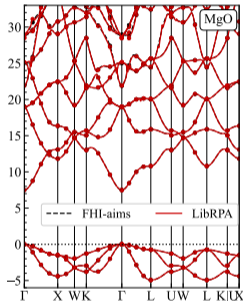
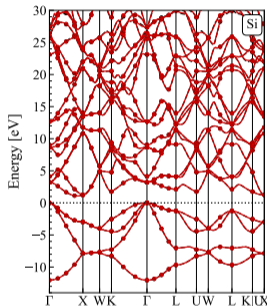
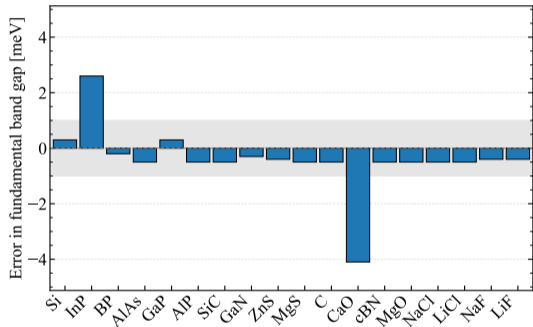
Accuracy: time-frequency grids convergence



- Most systems converge to results from canonical algorithm within 10 meV using 16 minimax points.
- Exceptions (tens-meV error with 32 points) are due to self-energy pole at band edges.

Accuracy: band-gap and band structure

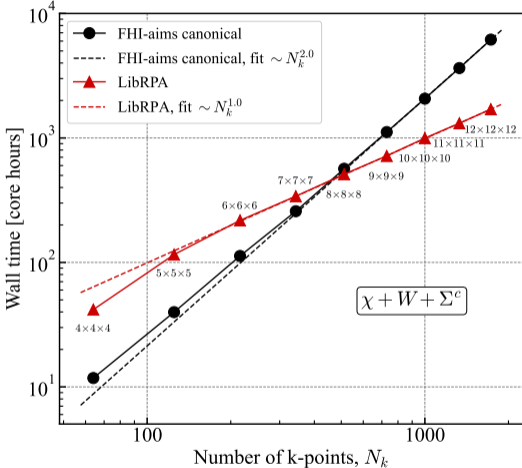
Reference: canonical implementation in FHI-aims



$$\Sigma_{IJ}(\mathbf{k}) = \sum_{\mathbf{R} \in \{\mathbf{R}_{IJ}^{\text{BvK}}\}} e^{i\mathbf{k} \cdot \mathbf{R}} \Sigma_{IJ}(\mathbf{R})$$

Setup: $8 \times 8 \times 8$ k-grid, `intermediate_gw`, 32/60 minimax/mGL grid points, Padé approximant for AC

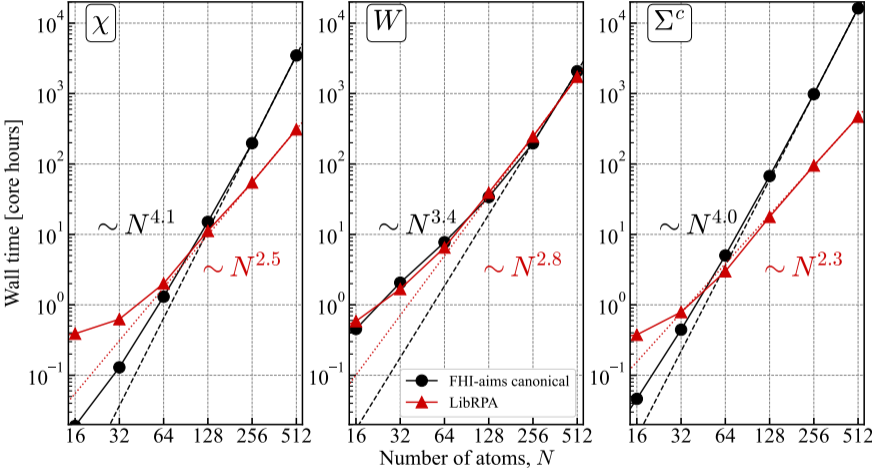
Efficiency: scaling over number of k-points



- Expected $\mathcal{O}(N_k^2)$ in canonical algorithm
- Low-scaling one with $\mathcal{O}(N_k)$ outperforms between $7 \times 7 \times 7$ and $8 \times 8 \times 8$
- 3x speed-up for k-grid $12 \times 12 \times 12$

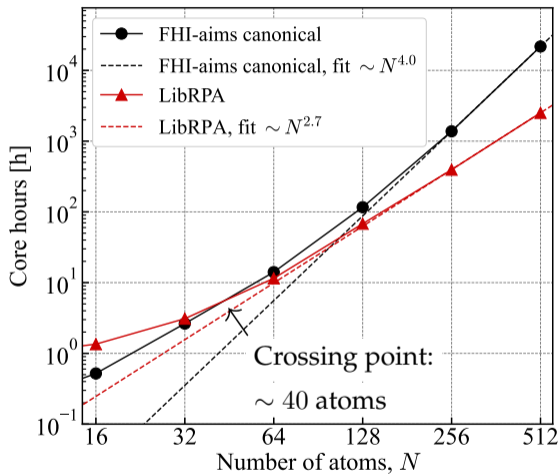
Silicon unit-cell, intermediate_gw basis, 16 time grids. 2 Intel Xeon(R) Platinum 8468 nodes (196 cores)

Efficiency: scaling over system size



Carbon super cell, light basis, Γ -only, 16 time grids. 4 Intel Xeon(R) Platinum 8468 nodes (392 cores)

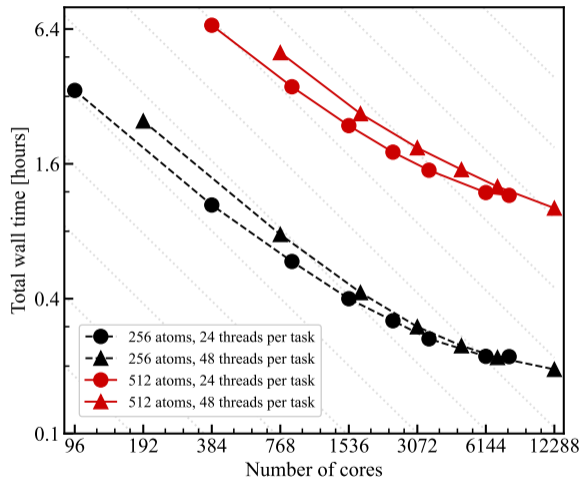
Efficiency: scaling over system size (total)



Carbon super cell, light basis, Γ -only, 16 time grids. 4 Intel Xeon(R) Platinum 8468 nodes (392 cores)

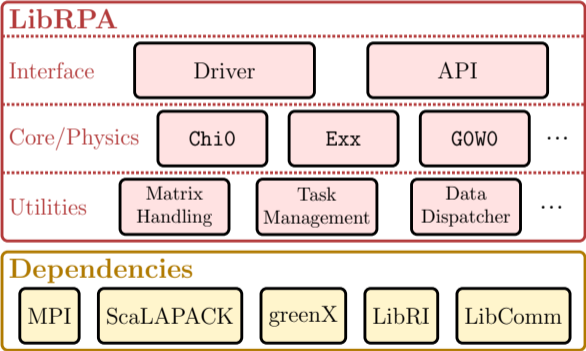
Efficiency: strong scaling

- Scales up to over 10,000 cores (parallel efficiency $\sim 20\%$)
- Bottleneck: calculation of Green's function is not well distributed



Carbon super cell, light basis, Γ -only, 16 time grids.

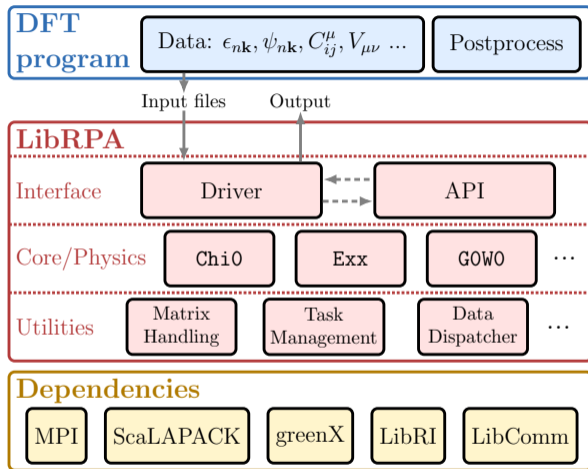
LibRPA architecture



Code structure

- Built on top of industry-standard math/MPI libraries, as well as purpose-built components
- Three-layer design

LibRPA architecture

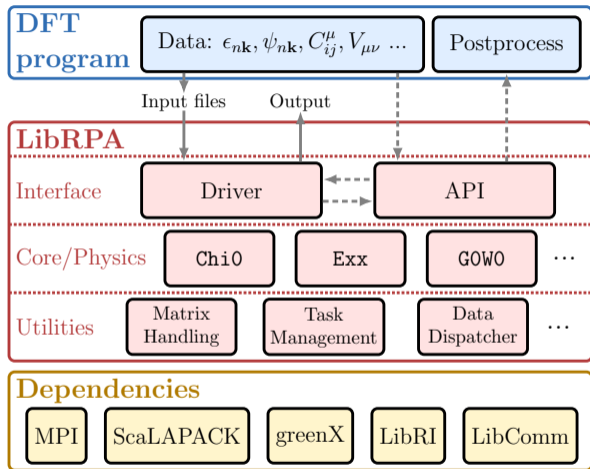


File-based interface

- “Generate once, run for all”
- Suitable for development with small/medium-size systems

```
task = g0w0_band
input_dir = ../dataset
nfreq = 16
parallel_routing = libri
```

LibRPA architecture



Application programming interface (API)

- Data parsing, computation and postprocessing in one run
- Avoid file I/O
- Support C/C++/Fortran bindings

```
type(LibrpaHandler) :: h
type(LibrpaOptions) :: opts
call h%get_g0w0_sigc_band_k(opts, ...)
```

How to use LibRPA in FHI-aims

Build

```
- set(CMAKE_CXX_COMPILER "icpx")
- set(CMAKE_CXX_FLAGS "")
+ set(CMAKE_CXX_COMPILER "mpiicpx")
+ set(CMAKE_CXX_FLAGS "-std=c++17")
+ set(USE_LIBRPA ON)
```

Output: same as native backend

- QPE on k-grid in stdout
- QP bands in GW_band*.out

Input

```
xc pbe
relativistic atomic_zora scalar
qpe_calc gw_expt
frequency_points 16
anacon_type 1
k_grid 3 3 3
```

```
output gw_regular_kgrid
output k_eigenvalue 10000
output band ...
output band ...
```

```
+ mbpt_backend librpa
```

Summary & Outlook

- Added a low-scaling G^0W^0 route in FHI-aims via external library LibRPA
- Retained canonical FHI-aims reference quality with reduced apparent scaling

$$N_k^2 \rightarrow N_k \quad N_a^4 \rightarrow N_a^{2.7}$$

-
- Enable statistically sampled large-supercell GW .
 - Strengthen LibRPA: robustness, memory efficiency, and smoother workflows.
 - Broaden applicability to diverse systems, e.g. low-dimensional materials.

Acknowledgement

- Prof. Matthias Scheffler
- Huanjing Gong, Bohan Jia, Haobo Chen
- MS1p team for maintaining FHI-aims

- NOMAD CoE
- IOP-HU Fellowship
- Computer resources: Huairou, ChinaHPC, MPCDF, GWDG



vim-aims-input

GitHub:minyecz/vim-aims-input

- Vim 9 and Neovim
- Syntax (keywords) highlight
- Support {control, geometry}.in
- Keywords auto-completion

```
-/s/f/r/t/s/control.in
xc                pbc
relativistic     atomic_zors scalar
gpu_calc         gw_spt
frequency_points 20
anacon_type      0
k_grid           3 3 3
output           band 0.00000 0.00000 0.00000 0.50000 0.00000 0.50000 5 G X
periodic_gw_optimize inverse
#####
# FHI-aims code project
# V8, Fritz-Haber Institut, 2009
#
# Suggested "light" defaults for SI atoms (to be pasted into control.in file)
# Be sure to double-check any results obtained with these settings for post-processing,
# e.g., with the "tight" defaults and larger basis sets.
#
# 2020/09/08 Added f function to "light" after reinspection of Delta test outcomes.
# This was done for all of AI-Cl and is a tricky decision since it makes
# "light" calculations measurably more expensive for these elements.
# Nevertheless, outcomes for P, S, Cl (and to some extent, Si) appear
# to justify this choice.
#
#####
species          Si
# global species definitions
nucleus          14
mass             28.0855
#
l_hartree        4
#
cut_pot          2.5          1.5 1.0
basis_dep_cutoff 1e-4
#
radial_base      42 5.0
radial_multiplier 1
angular_grids    specified
  division       0.5866 50
  division       0.9616 110
  division       1.2249 194
  division       1.3795 302
#
  division       1.4810 454
  division       1.5529 590
  division       1.6284 770
#
3.1k control.in 28:1 21M          N/A (aimsin)          UTF-8 UNIX  spectral_function_gpw
```

```
-/w/a/d/b/r/2/u/geometry.in
1 lattice_vector      0.00000000  2.53574055  2.53574055
  constrain_relaxation x
lattice_vector      2.53574055  0.00000000  2.53574055
  constrain_relaxation y
lattice_vector      2.53574055  2.53574055  0.00000000
  constrain_relaxation z
#
atom_frac           0.00000000  0.00000000  0.00000000 Ir
  constrain_relaxation .true.
atom_frac           0.25000000  0.25000000  0.25000000 O
  constrain_relaxation .true.
atom_frac           0.75000000  0.75000000  0.75000000 O
  constrain_relaxation .true.
```

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